

ORIGINAL ARTICLE

Experiences in the assessment of psychosocial risk factors in a cardiovascular rehabilitation clinic- successful implementation of cardiovascular prevention guidelines may increase awareness of psychosocial stressors

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Abstract: Objectives – To investigate the prevalence of psychosocial risk factors (PRFs) among patients admitted to a cardiovascular rehabilitation clinic. **Methods** – 431 consecutive inpatients were included. Baseline characteristics and clinical data were extracted from clinical charts. We applied the *European Society of Cardiology (ESC)* standardized psychosocial questionnaire. Patients were asked about socio-economic status, including education level, work and family stress, social isolation, depression, anxiety, hostility, type D personality, post-traumatic stress disorder, other mental disorders. **Results** – The mean age was 68±10 years, with female predominance (51.7%). The most common cardiovascular risk factor was hypertension (94.7%), mean blood pressure was 136/81 mmHg (±20/11 mmHg). The most frequently observed PRFs were social isolation (72.2%), low socio-economic status (63.8%), work stress (65.2%) and hostility (65.9%). Social isolation (p=0.0034), depression (p<0.0001), anxiety (p<0.0001), hostility (p=0.0438), type D personality (p<0.0001), post-traumatic stress disorders (p=0.0004) and other mental disorders (p=0.0350) were more frequent in women. Men suffered significantly more frequent from work stress (p= 0.0409). **Conclusions** – PRFs are common among patients with CVD with significant gender differences. Screening for PRFs can easily be performed. Identification of affective disorders and other chronic stressors may have an impact on future cardiovascular events and on treatment adherence.

Keywords: cardiovascular diseases, psychosocial risk factors, cardiovascular prevention, classical risk factors.

Rezumat: Obiectiv – Evaluarea prevalenței factorilor de risc psihosociali într-o clinică de recuperare cardiovasculară. **Metode** – Am inclus consecutiv 431 de pacienți internați în această clinică. Caracteristicile de bază și datele clinice au fost extrase din foile de observații. Am utilizat chestionarul psiho-social standardizat, recomandat de *Societatea Europeană de Cardiologie*. Pacienții au fost chestionați asupra statusului socio-economic, inclusiv nivelul de educație, stresului de la serviciu și în viața de familie, lipsei de sprijin social, depresiei, anxietății, ostilității, personalității de tip D, stresului post-traumatic și asupra altor afecțiuni psihiatrice. **Rezultate** – Vârsta medie a fost de 68 ± 10 ani, cu predominanța femeilor (51,7%). Cel mai frecvent factor de risc cardiovascular a fost hipertensiunea arterială (94,7%), tensiunea arterială medie a fost 136/81 mmHg (20/11 mmHg). Factorii psihosociali cei mai des întâlniți în studiul nostru au fost absența sprijinului social (72,2%), statusul socio-economic precar (63,8%), stresul ocupațional (65,2%) și ostilitatea (65,9%). Absența sprijinului social (p=0,0034), depresia (p<0,0001), anxietatea (p<0,0001), ostilitatea (p=0,0438), personalitatea de tip D (p<0,0001), stresul post-traumatic (p=0,0004) și alte afecțiuni psihiatrice (p=0,0350) au fost mai frecvent întâlnite la femei. Bărbații au fost mai des expuși stresului ocupațional (p=0.0409). **Concluzii** – Factorii de risc psihosociali sunt frecvent prezenți la pacienții cu boli cardiovasculare existând diferențe semnificative între sexe. Screeningul lor se poate efectua cu ușurință. Identificarea tulburărilor afective și a altor stresori cronici psihosociali pot avea un impact asupra dezvoltării, prognosticului bolilor cardiovasculare și asupra aderenței la tratament.

Cuvinte cheie: boli cardiovasculare, factori de risc psihosocial, prevenție cardiovasculară, factori de risc tradiționali.

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List of abbreviations used in text

CVD	cardiovascular disease
ESC	European Society of Cardiology
PAD	peripheral artery disease
CHD	coronary heart disease
PRFs	psychosocial risk factors
HTN	arterial hypertension
SBP	systolic blood pressure
DBP	diastolic blood pressure
BMI	body mass index
MI	myocardial infarction
CABG	coronary artery bypass graft
PCI	percutaneous coronary intervention
BDI-13	Beck Depression Inventory-13 item form
PTSD	post-traumatic stress disorder

INTRODUCTION

The prevalence of cardiovascular diseases (CVD) according to a survey which included member countries of the *European Society of Cardiology* (ESC) was approximately 83.5 million in 2015¹. Peripheral artery disease (PAD) was at the top of the list (35.7 million) followed by coronary heart disease (CHD) (29.4 million)¹. CVD are the leading cause of mortality and morbidity, being responsible for around 45% of all deaths in Europe², with a higher mortality rate in Central and Eastern Europe². Data suggest, no change is predicted in the near future, due to the aging and growing of the population. There is a worldwide variation in the incidence CVD with a higher burden in low and middle-income countries³.

Traditional risk factors do not fully explain the CVD risk in populations, and there is increasing awareness of the impact of social environment and psychological factors on CVD incidence and outcomes. The measurement of psychosocial variables is uniquely complex as variables are difficult to define objectively⁴. Risk factors related to an increased risk of development of CVD were firstly mentioned in studies derived from the *Framingham Heart Study*⁵. High blood pressure and high cholesterol level were found to be associated with cardiovascular risk and outcomes⁶. Additionally, the same study, demonstrated the promoting role of other risk factors in cardiovascular diseases, like diabetes mellitus, smoking, physical inactivity and obesity⁵. These are recognized as classical cardiovascular risk factors. Cardiovascular disease mostly develops in those who are exposed to at least one of these hazards. Noteworthy, they are easily quantifiable and influencing them has been for a long time the core action in cardiovascular prevention and rehabilitation.

Lately, besides traditional cardiovascular risk factors, the role of individual psychosocial risk profile in CVD development came into the spotlights. Emerging data show the causative or intermediate effect of psychosocial risk factors (PRFs), classified as emotional factors such as depression, anxiety, anger, hostility and chronic stressors including low socioeconomic status, low social support, work stress, marital stress and caregiver strain⁷⁻⁹ in relationship with CVDs. The role of PRFs in cardiovascular diseases mainly was investigated in relation with the burden and prognosis of coronary heart disease¹⁰⁻¹², heart failure and arrhythmias¹³. In a recent review Rozanski reports, that despite this growing knowledge, translation into clinical cardiology did not become a practice¹⁴.

The current 2016 European Guidelines on CVD prevention tries to overcome this problem. According to it, assessment of PRFs could be important, as these play a role in the development and prognosis of CVD and also have an impact on lifestyle and treatment adherence¹⁵. The use of standardized questionnaires or clinical interviews should be considered (class of recommendation IIA; level of evidence B) in high CVD risk patients based on total CVD risk assessed by SCORE chart or in patients already diagnosed with CVD¹⁵. Currently, there is insufficient data to support the routine assessment of these factors¹⁵. However, there is growing evidence, that psychosocial risk profile identification may have positive influence on cardiovascular disease progression. In any case, despite recommendations, implementation of guideline is frequently lacking in everyday practice.

The aim of this study was to investigate psychosocial risk factors among patients in a cardiovascular rehabilitation clinic. Using a standardized questionnaire proposed by ESC prevention team, we also try to show, that implementation of guidelines recommendations is achievable¹⁵.

METHODS

This cross-sectional study was conducted at the Târgu Mureş Cardiovascular Rehabilitation Department. A total number of 431 patients were included. All participants underwent general physical examination, height, weight and blood pressure measurement. We recorded socioeconomic and demographic data, clinical data including personal and family history of comorbidities and cardiovascular risk factors. Routine laboratory investigations (complete blood count, glycaemia, full lipid profile, creatinine, uric acid, liver

enzymes, and urine sample) were done in every patient, as well as ECG and cardiac ultrasound. Other paraclinical investigations were completed according to each patient's disease profile.

Each participant enrolled in the study filled in the standardized self-administered psychosocial questionnaire on mother tongue (Romanian or Hungarian). To be mentioned that this was administered irrespective of the 10 year cardiovascular SCORE risk chart (this was evaluated only in patients with no manifest cardiovascular disease). The questionnaire consisted of nineteen items in nine topics: low socio-economic status (also one question with respect to education level, divided into six categories: 1st category (C): 1-4 classes, 2nd C: 5-8 classes, 3rd C: Gymnasium, 4th C: Professional school, 5th C: Vocational school, 6th C: University), work and family stress, social isolation, depression, anxiety, hostility, type D personality, post-traumatic stress disorder and other mental disorders¹⁵. This form is slightly extended compared to the version recommended in the 2012 European guidelines on the prevention of CVD in clinical practice¹⁶. In addition most of patients completed the shortened 13-item form Beck Depression Inventory.

The Ethics Committee of the Emergency County Clinical Hospital of Targu Mures approved the study and patients signed a consent form to participate in our research study.

Microsoft Office Excel was used for data input and statistical software SPSS v.20.0 for data management and analyses. Descriptive statistics were performed for variables and expressed as mean \pm SD and frequency (%) for categorical variables.

RESULTS

	N	%
1-4 classes	12	2.9%
5-8 classes	120	28.6%
Gymnasium	101	24.0%
Professional school	94	21.8%
Vocational school	94	22.4%
University	53	12.6%

The study analyzed data for 431 patients, ranged from 37 to 93 years, mean age 68 ± 10 years. The majority of the participants were females 51.7% (n=223), 51.6% of patients came from rural environment. Most of them had attained gymnasium 24.0% (n=101) and classes from five to eight 28.6% (n=120), a significant proportion of the participants had professional education

21.8% (n=94) and only 12.6% (n=53) had a university degree (Table 1).

The most common classical cardiovascular risk factor was arterial hypertension (HTN) (already diagnosed and treated or newly diagnosed), 94.7% (n=408) of the patients had different grades of HTN. The majority of the participants had second grade HTN 60.1% (n=259), and 74.5% (n=321) with very high additional cardiovascular risk. Overall mean blood pressure under antihypertensive therapy was 136/81 mmHg ($\pm 20/11$ mmHg). Hypercholesterolemia was detected in 33.1% (n=143). Disorders in glucose homeostasis were observed in almost half of the cases 48.1% (n=207) with the predominance of type 2 diabetes 38.3% (n=165). Obesity was present in 53.59% (n=231), the most common was grade one obesity 32.71% (n=141) and overall mean BMI was 31.05 ± 6.11

	N/Mean	%/SD
Participants	431	100.00%
Age (y)	67.69	9.92
Sex		
Female	223	51.7%
Male	208	48.3%
Provenience		
Urban		48.4%
Rural		51.6%
Heart rate (bpm)	71.84	14.71
Hypertension	408	94.70%
HTN Grade I	12	2.8%
HTN Grade II	259	60.10%
HTN Grade III	137	31.80%
Mean SBP/DBP (mmHg)	136/81	20/11
Total cholesterol (mmol/L)	4.71	1.24
Hypercholesterolemia (>190 mg/dl)	143	33.1%
Glucose homeostasis		
Diabetes type 2	165	38.3%
Diabetes type 1	2	0.5%
Impaired fasting glucose	13	3.0%
Impaired glucose tolerance	27	6.3%
Mean BMI (kg/m ²)	31.05	6.11
Obesity	231	53.59%
Mean BMI (kg/m ²)	35.32	4.83
Obesity Grade I	141	32.71%
Obesity Grade II	54	12.52%
Obesity Grade III	36	8.35%
Comorbidities		
Coronary heart disease (all)	160	37.1%
Previous MI	40	9.2%
CABG	17	3.9%
PCI \pm Stenting	20	4.6%
Cerebrovascular disease	53	12.2%
Peripheral artery disease	69	16.0%
Atrial fibrillation	107	24.8%

Table 3. The prevalence of psychosocial risk factors

Psychosocial risk factors	Total sample	Females % (n)	Males % (n)	P-value
Low socio-economic status	63.8 (275)	67.3 (150)	60.1 (125)	0.1736
Work stress	65.2 (281)	61.4 (137)	69.2 (144)	0.0409
Family stress	10.7 (46)	12.1 (27)	9.1 (19)	0.2744
Social isolation	72.2 (311)	79.8 (178)	63.9 (133)	0.0034
Depression	31.6 (136)	43.9 (98)	18.3 (38)	<0.0001
Anxiety	45.2 (195)	58.3 (130)	31.3 (65)	<0.0001
Hostility	65.9 (284)	70.0 (156)	61.5 (128)	0.0438
Type D personality	55.5 (239)	64.1 (143)	46.2 (96)	<0.0001
Post-traumatic stress disorder	57.3 (247)	65.5 (146)	48.6 (101)	0.0004
Other mental disorders	8.8 (38)	11.2 (25)	6.3 (13)	0.0350

kg/m² and 29.5% (n=127) of the enrolled patients had normal weight (Table 2).

In our study, the most frequent psychosocial factors were social isolation (72.2%), low socio-economic status (63.8%), work stress (65.2%) and hostility (65.9%). Gender-related significant difference was detected in the presence of psychosocial risk factors. Social isolation (p=0.0034), depression (p<0.0001), anxiety (p<0.0001), hostility (p=0.0438), type D personality (p<0.0001), post-traumatic stress disorders (p=0.0004) and other mental disorders (p=0.0350) were more frequent by women. While men significantly more frequently suffered from work stress (p= 0.0409) compared to women. The prevalence of low socio-economic status and family stress did not differ between sexes in our study. Depression was present in 31.6%. However, after 83% of the patients (n=359) completed the shortened 13-items form Beck Depression Inventory (BDI-13) this increased to 46.63% (n=201). Mild depression was present in 29.7% (n=128), moderate in 8.6% (n=37) and severe depression in 8.4% (n=36) of the participants (Table 3).

Psychosocial stress factors usually appear in association with each other (Table 4). In this paper approx. in 17% from three to five psychosocial factors were present in the same individual.

DISCUSSION

Psychosocial risk factors are highly prevalent in cardiovascular illnesses. Emerging data suggest, they do have a role in the etiology of the disease, may promote progression and also could be a barrier to treatment adherence. The INTERHEART case-control trial (conducted in 52 countries) offers a larger perspective on the global evaluation of coronary artery disease risk factors¹⁰. The study concluded that nine cardiovascular risk factors, including traditional cardiovascular risk factors completed with PRFs, are deemed for more

Table 4. Number of associated factors

	N	Percent %
0	6	1.4
1	22	5.1
2	35	8.1
3	73	16.9
4	72	16.7
5	75	17.4
6	57	13.2
7	45	10.4
8	37	8.6
9	9	2.1
Total	431	100.0%

than 90% of the risk for acute myocardial infarction¹⁰. Smoking and hyperlipidemia were the most strongly related to the acute event followed by psychosocial factors, abdominal obesity, diabetes and hypertension¹¹. Interestingly, current evidence from the literature suggest, that PRFs may also have a role in promoting other major cardiovascular risk factors like hypertension or diabetes mellitus⁴. The relationship between PRFs and stroke have been also largely evaluated in several studies, like Interstroke and Copenhagen City Heart Study, showing that risk of stroke is increased in the presence of psychosocial stressors^{10,17}. Despite these findings, influence of PRFs on CVDs is still underestimated in comparison to traditional risk factors.

Therefore, integrating psychosocial risk profile evaluation into cardiology practice is becoming an urgent need. This study shows our experiences in the screening of psychosocial risk factors. In comparison to traditional cardiovascular risk factors these are less easily quantifiable and more subjective, as they are based on self-report. However, the standardized psychosocial risk assessment tool recommended by the ESC could be easily administered in our patients admitted to the cardiovascular rehabilitation clinic. Based upon the answers obtained during the self-administered questi-

onnaire we were able to identify affective disorders (depression, anxiety), personality traits (hostility, D-type personality) as well as chronic stressors like low socio-economic status, social isolation, marital and work related stress.

Low social status is measured by education degree and family income and it is related to the development of CHD¹⁸ and CHD mortality¹⁹ and also with poor prognosis in CVD²⁰. More than half of our study population fell into the category of low social status. Family stress was less frequently encountered among our patients. In general population being unmarried, independently of gender, is related to a higher incidence of CHD and cardiac mortality²¹. Studies suggest that being married facilitates a healthy behavior and lifestyle, adherence to treatment, the recognition of symptoms in heart failure²².

Work stress including high job demands, low control at work, long working hours are widely investigated in CHD. According to a large meta-analysis, there is unequivocal association between job strain and CVD risk²³. The largest case-control trial in this field demonstrates that work stress is associated with a doubled risk of CHD and it is more important in men than in women¹¹. Our results also show a significantly higher prevalence of work stress among men compared to women. Overall more than half of the inquired patients reported stress related to work. According to a recent cohort study, long working hours also increase stroke risk²⁴.

Data from the literature are consistent regarding the association of depression and heart disease. Future projections of the WHO places depression as a second cause of disability in developed countries, just after CVDs²⁵. Depression is considered an independent risk factor related to the incidence and prognosis of CHD²⁶. It is also proved to be common among survivors of acute cardiac events²⁷. Patients with depression are less likely to adhere to secondary preventive measures like quitting smoking, eating healthy or being physically active. The findings of the EUROASPIRE IV survey also support this statement and connect this negative emotional factor to other cardiovascular risk factors, such as current smoking, central obesity and diabetes²⁸. Furthermore, as shown in a meta-analysis conducted by Pan and collaborators, depression is also linked to stroke risk²⁹. We found depression in almost half of our patients, with a female predominance. Mild and moderate forms were more frequent. One third of the cases were revealed only, when Beck Depression Inventory was administered.

Besides depression, anxiety is another negative emotion proved to be an independent risk factor for CHD and cardiac mortality. This connection is less strong in comparison to the that of depression, on the other hand is stronger when compared to anger³⁰. In our study prevalence of anxiety was comparable to that of depression and was also more frequent in women. Undoubtedly, the overlapping of anxiety and depression may strengthen the emotional distress of these individuals. Data from the national SWEDEHEART registers showed that in patients after a myocardial infarction cardiovascular and general mortality are both increased if symptoms of anxiety and depression are constantly present³¹. With almost every second patient being anxious, our results showed a higher prevalence when compared to 30-40% found in a systematic review³⁰. Evidently, the different anxiety evaluation method can explain this finding.

With respect to hostility and anger Chida and collaborators stated in a meta-analytic review of prospective cohort studies, that these negative traits are linked to enhanced rate of events both in healthy subjects and those with established CHD³⁰. Furthermore, they may increase the likelihood of recurrence³⁰. Interestingly, connection of anger and hostility to CHD events was stronger in healthy men compared to women³². Opposite to this, hostility was more frequent in our female patients. However, overall this was the second most prevalent psychosocial risk factor among our patients. Anger is also related to increased cardiac mortality and poor prognosis in patients with CHD³².

Type D personality defines a high level of negative thoughts and emotions. It appears in one-third of individuals with CVD³³. We demonstrated a much higher incidence, more than half of our patients confessed negative emotions, with female predominance.

Post-traumatic stress disorder (PTSD) is a reaction caused by a life-threatening event (like myocardial infarction) making a person to become anxious, frightened, helpless, frequently affecting his daily functioning. It is a negative emotional state in which individuals may re-live the traumatic event, avoid reminders and have negative thoughts and feelings. Prevalence of PTSD is high among patients with cardiac diseases, appears more frequently in women and is responsible for higher mortality³⁴. Our study is consistent with these findings, as we found PTSD in more than half of the patients, with female predominance.

The THORESCI study, a prevalence study in coronary heart disease patients from the Netherlands used

the same questionnaire applied in our study showing a better psychosocial profile of their patients: social isolation 28% vs. 72%, depression 24% vs. 31.6%, hostility 53% vs. 65.9%, type D personality 50% vs. 55.5%, with a slightly less prevalent anxiety in our patients 48% vs. 45.2%³⁵.

Psychosocial risk factors are often related to each other and their effects are cumulative which may increase the rate of cardiovascular events. The risk of CVD owed to the combination of these factors in some studies was similar to the risk associated to CHD traditional risk factors, such as hypertension, hypercholesterolemia³⁶. For instance, chronic stress at work is related to increased incidence of depression³⁷, and both can promote ischemic heart disease^{38,39}. Furthermore, low socioeconomic status was observed to accompany social isolation and depression³³. We observed in our study clustering of three to five PRFs which may enhance global health risk.

The association of traditional cardiovascular risk factors with PRFs was not evaluated in this work. However, according to the literature there is convincing data supporting the existence of a relationship between PRFs and traditional risk factors, such as hypertension, hypercholesterolemia, smoking, diabetes, obesity and physical inactivity^{13,34}. For instance, in acute stressful events blood pressure increases transiently. Moreover, a higher incidence of hypertension associated with the presence of chronic stressors was observed, in particular if the stress managing response was altered due to depression or anxiety⁴⁰. Association of PRFs with unhealthy behavior (sedentary lifestyle, alcohol and tobacco use, poor diet) is also well documented, as well as their negative influence on treatment adherence. Overall, a broad spectrum of biological, psychological and social variables, seem to act synergistically resulting in increased CV morbidity and mortality.

Cardiologist and general physician can easily take measures for the assessment of PRFs while taking medical history with simple questions or using standardized questionnaires. In special cases, when it is necessary they need to refer the patient for further investigations and special treatment. Interventions to the decrease psychosocial hazard include individualized recommendations regarding education, and physical activity, promoting healthy behaviors, relaxation methods, stress management^{13,33}. In special cases, like patients with clinically severe symptoms, psycho- and pharmacotherapy, coordinated by a specialist might

be needed¹³. It is unanimously accepted that exercise is beneficial for the prevention of CHD, but it was also demonstrated that may reduce the incidence of depression⁷.

The limitation of the present study includes that we did the research on consecutive inpatients, independently of the results of SCORE risk chart. In addition, a great proportion of enrolled patients were pensioners, a part of them missed to answer the following questions: „Are you a manual worker?“, „Do you lack control over how to meet the demands at work?“, „Is your reward inappropriate for your effort?“, which imply bias on the interpretation of the first two psychosocial factors. Also, the results for depressive status are questionable, as the presence of depression according to the BD¹⁻¹³ test was double compared to the prevalence obtained with the psychosocial questionnaire. Furthermore, independent variables were not investigated in our study.

Besides focusing on traditional cardiovascular risk factors and their prevention, physicians should be aware of the presence and effect of novel cardiovascular risk factors, such as psychosocial domains. Early detection and treatment of emotional and behavioral disturbances may attenuate the incidence of CVD events, treatment adherence, and quality of life.

CONCLUSION

Overall, this paper presents the results of a survey on psychosocial risk factors among patients with CVD. The present findings confirm that PRFs are common in patients with CVD and also suggest gender differences in the prevalence of PRFs. Findings highlight the need to raise the awareness of these non-traditional risk factors and also show that screening for them can easily be performed. Further research is required to elucidate whether addressing these psychosocial attributes and integrating them in global cardiovascular risk assessment and cardiovascular rehabilitation programs will be able to change the course of the CVD along the cardiovascular continuum.

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